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All Human EFI α Promoters Are Not Equal: Markedly Affect Gene Expression in Constructs from Different Sources

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Abstract

The promoter is a major element in the expression cassette of gene therapy vectors. Optimal promoter selection can enhance target specificity and gene expression. Recently, we evaluated three different human elongation factor I alpha (EFI α) promoters. The three promoters were put into the same expression vector, pAC-luc, driving expression of the luciferase cDNA. The activity from one EFI α promoter (termed EFI α -3), obtained in a commercial vector, was markedly lower when tested in vitro (from 50 - 500 x) in four cell lines and in vivo in rat submandibular glands (~250 x). Sequence differences in the EFI α -3 promoter likely account for the activity differences seen. Investigators need to recognize that all promoters of the same name may not be equivalent in driving transgene expression.

Key words: promoter, EF1a, gene therapy.

Introduction

The expression cassette is an essential component of a gene therapy vector, and each includes at least three key components: a promoter, a transgene and a polyadenylation sequence. The optimal design of an expression cassette can facilitate therapeutic outcomes (1, 2, 3). The promoter plays a critical role, including directing, regulating and targeting transgene expression. Higher promoter activity can result in lower doses of vector administered, with consequently lower toxicity or side effects.

Currently, many promoters are available for the gene therapy studies. Differences, however, are known to exist within promoters of the same name. For example, the CMV promoter (including human cytomegalovirus enhancer and immediate early promoter) used in different vectors was 589 bp in size in Ad-EGFP (4), 646 bp in pCNS (5), 742 bp in phMGFP (accession No. in NCBI: AY218848), 795 bp in pCMVTNT(TM)(accession No. in NCBI: AF477200), 817 bp in expression vector M64754 (accession No. in

NCBI: M64754) and 1650 bp in expression vector AF286076 (accession No. in NCBI: AF286076). All are simply called the CMV promoter. It is not known whether such sequence differences result in significant functional differences.

Recently, in an effort to optimize expression cassettes for planned human trials, we have studied three versions of the human EF1a promoter, two obtained from commercial vectors and one from a colleague. Although, all are called the EF1a promoter, the functional activity from one commercially-obtained promoter was markedly lower in four different cell lines in vitro and in vivo in rat submandibular glands.

Materials and Methods

Construction of plasmids

A backbone plasmid, pAC-luc (6), was constructed using pACCMV-pLpA that was a gift from Dr. C. Newgard (University of Texas, Southwestern Medical Center). This plasmid contains part of the adenovirus serotype 5 genome (0-1.2 and 9.2-17 mu) with the human CMV immediate early promoter/enhancer substituted between map units 1.3 and 9.1. pACCMV-pLpA was digested with Not I to delete the CMV promoter/enhancer and SV40 poly A sequence. A 307 bp DNA fragment, containing a multiple cloning site (Bgl II, Xho I, Hind III, EcoR I, Sal I, Kpn I, BamH I and Xba I) and SV40 poly A sequence, was removed from pEGFP-C3 (BD Biosciences, Palo Alto, CA) with Mlu I and Sca I, and filled in with Klenow large DNA fragment (Invitrogen, Carlsbad, CA). Not I linkers were added and then ligated with the remaining pACCMV-pLpA fragment to become the pAC plasmid. Next, a 1851 bp luciferase cDNA (Kpn I/BamH I fragment from pGL2-Basic, Promega, Madison, WI) was inserted into the pAC Kpn I/BamH I sites to generate pAC-luc. The first EF1a promoter used (1197 bp), termed EF1a-1 in this study, was excised from the plasmid pEAK8 (Edge BioSystems, Gaithersburg, MD) with Spe I, filled in with Klenow large DNA fragment, and then digested with Eco RI. Next, pAC-luc was digested with Hind III, filled in with Klenow large DNA fragment, then digested with *Eco* RI and ligated with EF1 α -1. The second EF1 α promoter, termed EF1a-2 (1272 bp), was a gift from Dr. S. Gutkind (OPCB, NIDCR, NIH) (7), and was excised from pEF-BOS with EcoR I/Hind III and inserted into pAC-luc. The last EF1a promoter studied here, termed EF1a-3 (1191 bp), was excised from pEF1/myc/ER/GFP (Invitrogen) with Eco RI/Nco I, filled in with Klenow large DNA fragment, and then Hind III linkers were added to both ends. EF1a-3 was inserted into pAC-luc at site the *Hind* III site.

Cell culture

The A5 cell line was derived from rat submandibular gland (8) and grown in McCoy's 5A medium (Invitrogen). The HSY cell line was obtained from a human parotid adenocarcinoma (9) and grown in a mixture of 50% Dulbecco's minimum essential medium (DMEM) and 50% Ham's F12 media. The HSG cell line was obtained from an irradiated human submandibular gland (10) and grown in DMEM/F12 medium, as above. The 293 cell line (Microbix Biosystems Inc., Toronto, Ontario, Canada) is from human embryonic kidney (11) and was grown in Eagle's minimum essential medium. For all four cell lines the following supplements were included: 10% fetal bovine serum (Invitrogen,), 100 U/ml penicillin G (Invitrogen), 100 µg/ml streptomycin (Invitrogen). Cells were incubated at 37°C in a humidified 5% CO₂ atmosphere.

In vitro and in vivo plasmid transfection

We used adenoviruspolyethylenimine (PEI)-plasmid complexes to deliver plasmids into A5, HSG, HSY and 293 cells in vitro and rat submandibular gland in vivo. PEI (Sigma, St. Louis, MO) has a high cationic-charge-density potential and is useful to enhance transfection (12,13). The adenovirus used for all transfections herein was Adcontrol, an E1- recombinant adenovirus without any transgene. Adcontrol was generated by cotransfection of pACCMV-pLpA and pJM17 in C7 cells (6). The complexes were formed using 2×10^{10} molecules of plasmid DNA/4 x 10^5 cells, 0.1 mM of PEI and 3 x 10¹⁰ particles of Adcontrol in a volume of 20 µl. A5, HSG, HSY and 293 cells were plated at 2 x 10⁵ cells/well in 96 well plates. After 24 hours, the cells were transfected with 20 µl of adenovirus-PEI-plasmid complexes for 1 hour, then 180 µl of fresh growth medium was added to each well. Cells were cultured for an additional 24 hours and then luciferase activity was measured.

Male Wistar rats (250-350 g, ~3 months old) were anesthetized (14, 15, 16)and the adenovirus-PEI-plasmid complexes for plasmid transfection, which were formed using 4.35 x 1012 molecules of plasmid DNA/gland, 0.5 mM of PEI and 1 x 1011 particles of Adcontrol in a volume of 200 µl, were administered by retrograde ductal instillation (14,15,16) into both submandibular glands. Three rats were studied for each experimental group. After 3 days, rats were euthanized and submandibular glands collected for analysis of luciferase activity. All animal studies were approved by the NIDCR Animal Care and Use Committee.

Luciferase assays

A5, HSG, HSY and 293 cells were lysed 24 hours post-transfection with cell lysis buffer (Promega) for 15 min at room temperature. For rat submandibular tissues, about 100 mg wet weight of samples were added to 500 µl of 1 x cell lysis buffer (Promega). Samples were homogenized with a homogenizer from OMNI international (Waterbury, CT) and incubated for 15 min at room temperature. Fifty microliters of the cell lysates were transferred to assay tubes, 100 µl of luciferase substrate injected, and light output was measured with an OPTOCOMP I luminometer (GEM Instruments, INC., Hamden, CT) for a 10 sec interval. After the measurement of luciferase activity, the concentration of protein in separate aliquots of the lysate was measured using the BCA protein assay kit (PIERCE, Rockford, IL). Results were expressed as relative light unit (RLU)/ mg protein.

Data analysis

Data analyses employed SigmaStat version 2.0

(SPSS Inc, Chicago, IL). Descriptive statistics were calculated and are reported as mean \pm SD. One-way ANOVAs, following by a Tukey test, were used to determine the statistical significance of differences. Values of *p* < 0.05 were considered significant.

Results and Discussion

$EFI\alpha$ promoter activity

On average, the EF1 α -1 and EF1 α -2 promoters showed the highest activities in all cell lines. The EF1 α -1 promoter activity was statistically higher in A5 and HSG cells (~2 x; p< 0.001), but there were no statistical differences between the activity of these promoters in HSY and 293 cells (Figure 1). However, as seen in Figure 1, it is clear that luciferase activity with the EF1 α -3 promoter was significantly lower than those seen with the EF1 α -1 and EF1 α -2 promoters in all four cell lines (p< 0.001); ~50-fold lower in A5 cells, ~145-fold lower in HSG cells, ~80-fold lower in HSY cells and ~500-fold lower in 293 cells.



Figure 1. Comparison of three EF1 α promoter activities in vitro after transfection using plasmid-PEI-Adcontrol complexes. A. Promoter activity in A5 cells; B. Promoter activity in HSG cells; C. Promoter activity in HSY cells; D. Promoter activity in 293 cells. Each cell was transfected with 5 x 10⁴ plasmid molecules. See text for details. The data shown are the means ± SD of luciferase activity from three determinations 24 hr after transfections and are representative of three separate experiments. For most determinations the error bars are too small to be visualized in the figure.

To determine if there was a similar difference in the activity of the different $EF1\alpha$ promoters in vivo, we tested two plasmids, pAC EF1a-1-luc and pAC EF1α-3-luc, in rat submandibular glands. The plasmids were transfected at 4.35×10^{12} molecules/gland using plasmid-PEI-Adcontrol complexes, and luciferase activity was measured at 3 days post-transfection (Figure 2). As the data in Figure 2 show, the luciferase activity observed in vivo with the EF1 α -3 promoter was also markedly lower (~250-fold) than that with the EF1 α -1 promoter (p< 0.01).



Figure 2. Comparison of two EFI α promoter activities in vivo in rat submandibular glands after transfection using plasmid-PEI-Adcontrol complexes. Each submandibular gland was transfected with 4.35 x 10¹² plasmid molecules. See text for details. The data shown are the means \pm SD of luciferase activity from six submandibular glands of three rats measured three days after transfections. Note that for the pAC EFI α -I-luc data the error bars are too small to be visualized in the figure.

EFI α promoter sequence analysis

To better appreciate possible reasons for the significantly different expression seen from the EF1 α -3 promoter, we sequenced all three EF1 α promoters in their entirety. We also searched a human EF1a gene in GenBank (NCBI website, accession number: J04617)(17) and used this sequence to compare with the sequences obtained from the EF1 α -1, EF1a-2 and EF1a-3 promoters studied here. Differences between the J04617 sequence and the EF1 α -1, EF1 α -2 or EF1 α -3 promoters are shown in Figure 3. The EF1 α -1 promoter (panel A) started at nt 374 in J04617. There were two differences between these sequences. One was from nt 685 to 688 in the J04617, i.e. these four base pairs (CGCC) were missing in EF1 α -1. The other was located between nt 948 and 949 in J04617; EF1 α -1 had three extra base pairs, GAC, inserted. Panel B shows that the $EF1\alpha-2$ promoter exhibited two differences from the J04617 sequence in GenBank. First, in EF1 α -2 there were 81 extra base

pairs upstream of J04617 promoter sequence. After searching in GenBank, these 81 base pairs were identified as being derived from the SV40 small antigen. Second, as in EF1 α -1, four base pairs (CGCC) were absent in EF1α-2 from nt 685 to 688 in J04617. Panel C shows the comparison between J04617 and the EF1 α -3 promoter, and shows three types of differences between them. First, there were thirteen point mutations found in the EF1 α -3 promoter, spread throughout the sequence. Second, the same four base pairs (CGCC; nt 685-688) missing in EF1 α -1 and EF1 α -2 also were absent in the EF1 α -3 promoter. Third, the sequence in EF1 α -3 following nt 357 showed multiple differences from the comparable region of J04617 (from nt 721 to 751). Is there any transcription factor binding site in

these 30 base pairs? Two programs were used to search the binding sites. Seventeen potential transcription factor binding sites, p300, Egr-3, EIIaE-A, R2, Elk-1, VDR, WT1 I, STAT5A, c-Myb, HMG I(Y), STAT4, c-Ets-1, NFI/CIF, Ik-1, MAZ, HSF1 (long) and HSF1 (short) were found by PROMO (18, 19). Using TFBIND (20) program, we found 23 potential transcription factor binding sites, SP1, c-Mvb, Elk1, RREB1, p300, AP2, NGFIC, EGR1, EGR2, EGR3, MZF1, ZID, LYF1, AHRARNT, AP4, NFKB, NFKAPPAB50, HSF1, HSF2, ARP1, E2F, STAF and PAX5. These indicate that these 30 base pairs could play important roles in the regulation of EF1 α promoter activity.

~ ~~ 4

| A. $EF1\alpha$ -1 | | | | C. ΕF1α-3 | | | | | |
|-------------------|----------------------------|-----------------------------------|---|------------------|---|----------------------------|----------------------------|--|--------------|
| | | 10 | 20 30 | | | 10 | 20 | 30 | 40 |
| $RF1\alpha - 1$ | | GAGGCTCCGC | TGCCCGTCAGTGGGC | $EF1\alpha-3$ | | AAGC-TTC | GTGAGGCTCCG | TGCCCGTCA | GTGGGC |
| 1110 1 | | | | 2120 0 | | * * * | | | 111111 |
| T04617 | | CACCETCCC | 2760000702076260 | .104617 | | GAGCATGC | TGAGGCTCCG | TGCCCGTCA | GTGGGC |
| 004017 | | 380 | 390 400 | 001017 | | ono oni o o | 380 | 390 | 400 |
| | 200 300 | 310 | 320 300 | | 300 | 310 | 320 | 330 | |
| EE10-1 | 200 500 | 010 നൗന്ദര മനസ്മന്നന്നര്മ. | | FF10-3 | COTTATICCCCCTTCC | GTGCCTTG | ANTTACTTCCA | CCTGGC | TCCAGT |
| PLIC-I | | | ++++ | Br10-5 | | | | **** | 1*111 |
| T04617 | | | | T04617 | | 11111111 CTCCCTTC | | 111111 19000000000000000000000000000000 | TGCAGT |
| 004017 | GGITAIGGCCCTIGCGIGC | 0 600 | 600 700 | 004017 | 660 | 670 | 680 | 690 | 700 |
| | 660 67 E40 EE | 0 560 | E70 E90 | | 340 350 | 070 | 360 | 370 | |
| PP10-1 | 240 33 CCCACCOUNTERTERT | 0 500 እእርእጥእርጥርጥጥርጥእእ <i>፤</i> | | EE10-2 | 340 350 3000000000000000000000000000000000 | CCGAG | CTGG-AGCCAG | -GGGCG | |
| Br10-1 | | | +++ | Br 105 | | *** | + ++ ++ | * * **** | ***** |
| 104617 | | | | T04617 | 10000000000000000000000000000000000000 | CCGAGCTT | CGGGTTGGAAG | TGGGTGGGAG | AGTTCG |
| 004017 | 010 020 | 020 (| A10000000AAOA | 004017 | 710 | 720 | 730 | 740 | 750 |
| | 1100 | 930 3 | 940 950 | | 380 | 390 | 400 | 410 | 420 |
| PP10-1 | COTOTOTONNN | | | FF10-3 | | GGAGCCCC | TTCGCCTCGTG | CTTGAGTTGA | GGCCTG |
| PLIM-1 | | | | Brid-5 | * | | 111111111111 | | 11111 |
| 104617 | CCTCTCTCA A A A | | | 104617 | | GGAGCCCC | 11111111111 TTCGCCTCGTG | CTTGAGTTGA | GGCCTG |
| 004617 | 1ECO | | | 004017 | 760 | 770 | 780 | 790 | 800 |
| | 1300 | | | | 530 | 540 | 550 | 560 | 570 |
| | | | | EE10-3 | COTCOCACCOTTTTT | TTCTGGCA | AGATAGTOTTG | TAAATGCGGC | CCAGGA |
| | | | | Brias | | | 111111111111 | | * |
| D EE1 or 2 | | | T04617 | | 11111111 TTCTCCC | 11111111111 AGATAGTOTTG | TAAATGCGGG | CCAAGA | |
| D. EI | 10-2 | | | 004017 | 901900AC0011111 | 920 | 930 | 940 | 950 |
| | | | c | | 580 | 590 | 600 | 610 | 620 |
| $EE1\alpha-2$ | | | TGC | EF10-3 | TCTGCACACTGGTAT | TTCGGTTT | TTGGGCCCGCG | GCCGGCGACC | GGGCCC |
| 1110 2 | | | 1** | bi ia j | | 11111111 | * | * | 111111 |
| .104617 | | | ן דמס | .T04617 | TCTCC2C2C7CCTCCT2 | TTCGGTTT | TTGGGGGCCGCG | GGCGGCGACC | GGGCCC |
| 004017 | | | 300 | 004017 | 960 | 970 | 980 | 990 | 1000 |
| | 20 3 | 0 40 | 50 60 | | 830 | 840 | 850 | 860 | 870 |
| $EF1\alpha-2$ | AAAGATGGATAAAGTTTTA | AACAGAGAGGAATCT | TTGCAGCTAATGGAC | EF10-3 | CCAGGGGGCTCAAAA | TGGAGGAC | GCGGCGCTCGG | GAGAGCGGGG | GGGTGA |
| 2110 2 | **** ****** **** | ** * ******** | *** * ** *** | 5110 5 | * * | 11111111 | 111111111111 | 111111111 | 11111 |
| .T04617 | A CCCAGAGATCCCTCCCT | | | .T04617 | CCACCCACCTCAAAA | TGGAGGAC | GCGGCGCTCGG | GAGAGCGGGG | GGGTGA |
| 004017 | 310 32 | 0 330 | 340 350 | 004017 | 1210 | 1220 | 1230 | 1240 | 1250 |
| | 70 | 80 90 | 100 | | 930 | 940 | 950 | 960 | 970 |
| EF10-2 | TTCTACCTCTTCAAACCAC | TGGGAATTGGCTCCG | GTGCCCGTCAGTGGGG | EF10-3 | GTGACTCCACGGAG | TACCGGGCG | CCGTCCAGGCA | CCTCGATTA | TTCTGG |
| 5110 5 | ** ** ****** *** | ** ***** | | 2110 5 | | 11111111 | 11111111111 | 11111111 | * |
| .T04617 | | | 376000000000000000000000000000000000000 | .T04617 | GTGACTCCACGGAG | ACCGGGCG | CCGTCCAGGCA | CCTCGATTAC | TTCTCG |
| 004017 | 360 37 | 0 380 | 390 400 | 004017 | 1310 | 1320 | 1330 | 1340 | 1350 |
| | 370 3 | 80 390 | 400 | | 1080 | 1090 | 1100 | 1110 | 1120 |
| $EF1\alpha-2$ | GGTTATGGCCCTTGCGTGC | CTTGAATTACTTCCA | CCTGGCTGCAG | EF10-3 | ACTTGATGTAATTC | CCTTGGAA | TTTGGCCTTTI | TGAGTTTGG/ | ATCTTGG |
| 5110 2 | | | **** | DI 10 J | | | * | 11111111 | |
| .104617 | GGTTATGGCCCTTGCGTGC | CTTGAATTACTTCCA | CGCCCCTGGCTGCAG | .104617 | ACTTGATGTAATTC | CCTTGGAA | TTTGCCCTTTT | TGAGTTTGG | ATCTTGG |
| 00101/ | 660 67 | 0 680 | 690 700 | 001017 | 1460 | 1470 | 1480 | 1490 | 1500 |
| | 1260 | | 100 | | 1180 | | | | 177-E)-23-5, |
| $EF1\alpha - 2$ | GGTGTCGTGA | | | EF10-3 | GGTGTCGTGAACAC | | | | |
| DI 10, 2 | | | | <u>1</u> . 10. 3 | | | | | |
| T04617 | GGTGTCGTGA | | | J04617 | GGTGTCGTGAAAAC | | | | |
| 00401/ | 1560 | | | 00401/ | 1560 | | | | |
| | 1000 | | | | **** | | | | |

Figure 3. Comparison of the sequences from the EFIα-1, EFIα-2 and EFIα-3 promoters with that of J04617 (the EFIα sequence from GenBank; accession number in NCBI). A. EF1a-1 promoter; B. EF1a-2 promoter; C. EF1a-3 promoter. Each "*" indicates a sequence difference from that of J04617.

Thus, the sequences of the EF1 α -1 and EF1 α -2 promoters are guite similar, except for the 81 bp SV40 small antigen sequence at the 5'-end of $EF1\alpha$ -2. However, this extra sequence apparently had little effect on driving transgene expression. Conversely, the sequence differences observed in the EF1 α -3 promoter, particularly the first and third ones described above, likely accounted for the considerably lower activity observed with this promoter. The EF1 α promoter should have broad activity in multiple cell types and therefore might be widely useful for applications in human gene transfer (6). All three of the promoters studied here are called EF1a promoters in the literature, but they are not equivalent. Clearly, the use of the EF1 α -3 promoter by investigators could result in dramatically lower transgene expression.

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Competing Interests

The authors have declared that no competing interest exists.

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