

Research Paper

## Factors affecting the long-term response to tacrolimus in renal transplant patients: Pharmacokinetic and pharmacogenetic approach

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### Abstract

**Background:** The aim of our study was to determine the impact of CYP3A5\*1 and CYP3A5\*3 on the kinetics of tacrolimus in renal transplant recipients.

**Material and methods:** Forty kidney recipients were selected to participate. Maintenance scheme consisted of tacrolimus, a purine inhibitor and a steroid. CYP3A5 genotyping was performed with PCR and RFLP. Pharmacokinetic model was developed with Linear Regression and General Linear Model repeated measures approach. The impact of sex, CYP3A5\*1 allele, age at transplantation, hepatic and renal function on tacrolimus kinetics was examined.

**Results:** The frequency of CYP3A5\*3/\*3 and CYP3A5\*1/\*3 genotype was 35/40 and 5/40, respectively. No CYP3A5\*1/\*1 was detected. CYP3A5\*1 variant was associated with significant lower TAC dose adjusted concentration at 3, 6, 12 and 36 months after transplantation. Hepatic and renal function showed a significant effect on tacrolimus dose adjusted concentration 3 months after transplantation ( $p=0.000$  and  $0.028$ , respectively). Sex did not show a significant impact on tacrolimus kinetics. Carriers of CYP3A5\*1 allele had lower predicted measures for tacrolimus dose adjusted concentration and higher predicted measures for volume of distribution.

**Conclusion:** We proved that CYP3A5\*1 carriers need higher tacrolimus dose than CYP3A5\*3 homozygotes to achieve the target blood concentration.

Key words: CYP3A5, general linear models, linear regression, tacrolimus, renal transplantation

### Background

Tacrolimus, a calcineurin inhibitor, remains the centerpiece of the maintenance treatment scheme in renal transplant recipients. Both its narrow therapeutic index and its highly pharmacokinetic variance may lead to overtreatment and toxicity or insufficient treatment and transplant rejection, conditions that are usually seen in clinical practice. Thus, it is obvious

that the optimal tacrolimus dose has to be achieved soon after transplantation and must be preserved thereafter.

In the recent years, effort has been made to determine the potential causes of inter- and intra-individual variability. Except for the characteristics of each individual and several environmental

factors, the role of biological factors affecting the drug absorption, distribution, metabolism or deletion has been investigated [1-9]. Till now, the most significant biological factors known to affect pharmacokinetics are the drug transporters and the metabolizing enzymes [7,9].

CYP3A, the primary subfamily of the cytochrome P450 (CYP) enzymatic system, is responsible for the metabolism of tacrolimus [10]. Specifically; tacrolimus is mainly metabolized by CYP3A4 and CYP3A5 enzyme isotype. CYP3A5 polymorphisms seem to affect tacrolimus kinetics at a greater degree compared to CYP3A4 ones and thereby, these polymorphisms are thought to be the best candidates for pharmacogenetics' application in immunosuppression [1,7,11-13]. CYP3A5 gene is expressed in a limited number of individuals. When it is expressed, it may count for the 50% of the total hepatic CYP3A protein [5,14].

Macphee et al were the first to detect the effect of a CYP3A5 polymorphism in the distribution of tacrolimus [11]. CYP3A5\*3 (G6986A) polymorphism, located in intron 3, has been recognized as the most important CYP3A5 polymorphism. The alleles A and G are CYP3A5\*1 and CYP3A5\*3, respectively. Individuals carrying at least one CYP3A5\*1 allele express CYP3A5 protein whereas individuals homozygotes for CYP3A5\*3 are non-expressors [15-18].

There are grave indications that pharmacogenetic testing for CYP3A5 prior to transplantation improves the individualization of immunosuppressive therapy although epigenetic factors must be taken into account [7]. The aim of the present study was to

determine the impact of CYP3A5\*1 and CYP3A5\*3 genotype on the kinetics of tacrolimus in renal transplant recipients. The drug dose and level, the drug dose-adjusted level and the drug volume of distribution values are analyzed based on the presence of CYP3A5\*1 allele, sex, age, renal and hepatic function.

## Material and Methods

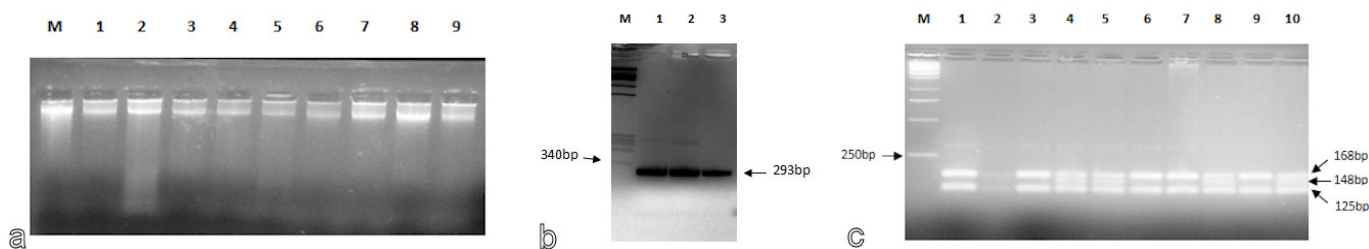
### Patient population

Forty renal transplant recipients (median age: 41 years, range: 13-69), who attended the Outpatient Clinic of Nephrology and treated with TAC as the primary immunosuppressant, were selected to participate in the study. The protocol was approved by the Institution Ethics Committee of our hospital and informed consent was obtained from all subjects.

Maintenance treatment scheme consisted of a combination of a calcineurin inhibitor (tacrolimus) with a purine inhibitor (mycophenolate mofetil or azathioprine) and a steroid (prednisolone). Tacrolimus was given twice a day in individually adjusted doses and its trough levels were measured 12 hours post dose.

### Identification of CYP3A5 genotype

Five milliliter blood samples were drawn from each patient in a vacutainer tube containing ethylenediaminetetraacetic acid. Genomic DNA was extracted from 200µl whole blood by QIAamp DNA Blood kit (Qiagen GmbH, Hilden) and was analyzed on a 0.8% agarose/Tris-borate EDTA gel with ethidium bromide staining (Figure 1).



**Figure 1.** a. Genomic DNA. Lane M, base pair marker ( $\lambda$ DNA 5µgr); lanes 1-9 genomic DNA from 9 blood samples. Analysis on a 0.8% agarose/Tris-borate EDTA gel. b. PCR for CYP3A5. Lane M, base pair marker ( $\lambda$ DNA 5µgr); lanes 1-3 PCR product for CYP3A5 from 3 DNA samples. Analysis on a 2% agarose/Tris-borate EDTA gel. Figure is printed negative. c. RFLP for CYP3A5. Lane M, base pair marker (250-bp DNA ladder); lanes 1-10 Sspl-digested PCR products from 10 PCR products. CYP3A5\*1/\*1 genotype gives 148-, 125- and 20- bp bands (lanes 1, 2, 3, 6, 7 and 9) and CYP3A5\*1/\*3 genotype gives 168-, 148-, 125- and 20- bp (lanes 4, 5, 8 and 10). CYP3A5\*3/\*3 genotype is not seen in this picture. The 20- bp band is not visible. Analysis on a 3.5% agarose/Tris-borate-EDTA gel.

PCR followed by RFLP was used for CYP3A5 genotyping. PCR primers for CYP3A5 were designed to amplify a 293 bp fragment (forward primer: 5'-CATCAGTTAGTAGACAGATGA-3', reverse primer: 5'-GGTCCAAACAGGGAAGAAATA-3') [19]. The 25µl PCR volume contained 2.5µl 10x PCR Buffer, 1µl MgCl<sub>2</sub> 50mM, 1µl dNTPs 10mM, 0.5µl forward primer 25pmol/µl, 0.5µl reverse primer 25pmol/µl, 0.25µl TAQ polymerase 5Units/µl, 1µl isolated DNA and 18.25 µl ddH<sub>2</sub>O. PCR conditions were 1min at 94°C, 40 cycles of 1min at 94°C (template denaturation), 1min at 55°C (primer annealing), 1min at 72°C (primer extension) and finally, 7min at 72°C (final elongation). The PCR product was analyzed on a 2% agarose/Tris-borate EDTA gel with ethidium bromide staining (Figure 1).

Enzymatic digestion of PCR products was performed using SspI endonuclease (New England Biolabs). 25 µL PCR product was incubated for 2 hours at 37°C with 2µl SspI 5Units/µl and 3µl 10xBuffer. A 3.5% agarose/Tris-borate EDTA gel with ethidium bromide staining was used and bands were detected by a short wavelength UV transilluminator (Figure 1). CYP3A5\*1/\*1 genotype gives 148-, 125- and 20- bp bands, CYP3A5\*3/\*3 genotype gives 168- and 125-bp bands and CYP3A5\*1/\*3 genotype gives 168-, 148-, 125- and 20- bp [19].

#### Statistical analysis

Tacrolimus kinetics were estimated with the use of tacrolimus daily dose, concentration, dose adjusted concentration and volume of distribution. Transplant recipients weight (kgr) and daily dose (mgr/day) of TAC in 14 days, 1, 3, 6, 12, 24 and 36 months were recorded so that dose per weight (mgr/kgr/day) could be calculated. The tacrolimus dose adjusted concentration (concentration/dose ratio) and tacrolimus volume of distribution (dose/concentration ratio) were calculated. Statistical analysis was performed with the use of PASW Statistics 17.0 (SPSS Inc, Chicago, IL, USA). Pharmacokinetic parameters were firstly modeled with the use of Linear Regression (LR). The results were compared to General Linear Model (GLM) repeated measures results.

LR predicts the dependent variable value based on the values of at least one independent variable. It assumes that the model depends linearly on the unknown parameters and the conditional mean of Y variable given the value of X is an affine function of X. In GLM repeated measures approach, the dependent variable is measured at multiple times (n) and it is represented by n variables (within-subjects factors). Categorical factors are used to divide study population into subgroups (between-subjects factors) and

continuous variables are used as control variables (covariates). Taking into account that linear relationships, normal distribution of the dependent variables and fixed effects exist, the effects of between- and within-subjects factors as well as the interaction between factors and covariates and its effects are analyzed.

The difference between LR and GLM repeated measures analysis is that LR does not take into account the sequel of the data and it encounters them as derived from different patients. Thus, GLM repeated measures approach seems to be a more accurate methodology for the analysis of such data but it is more demanding in the quantity of available data.

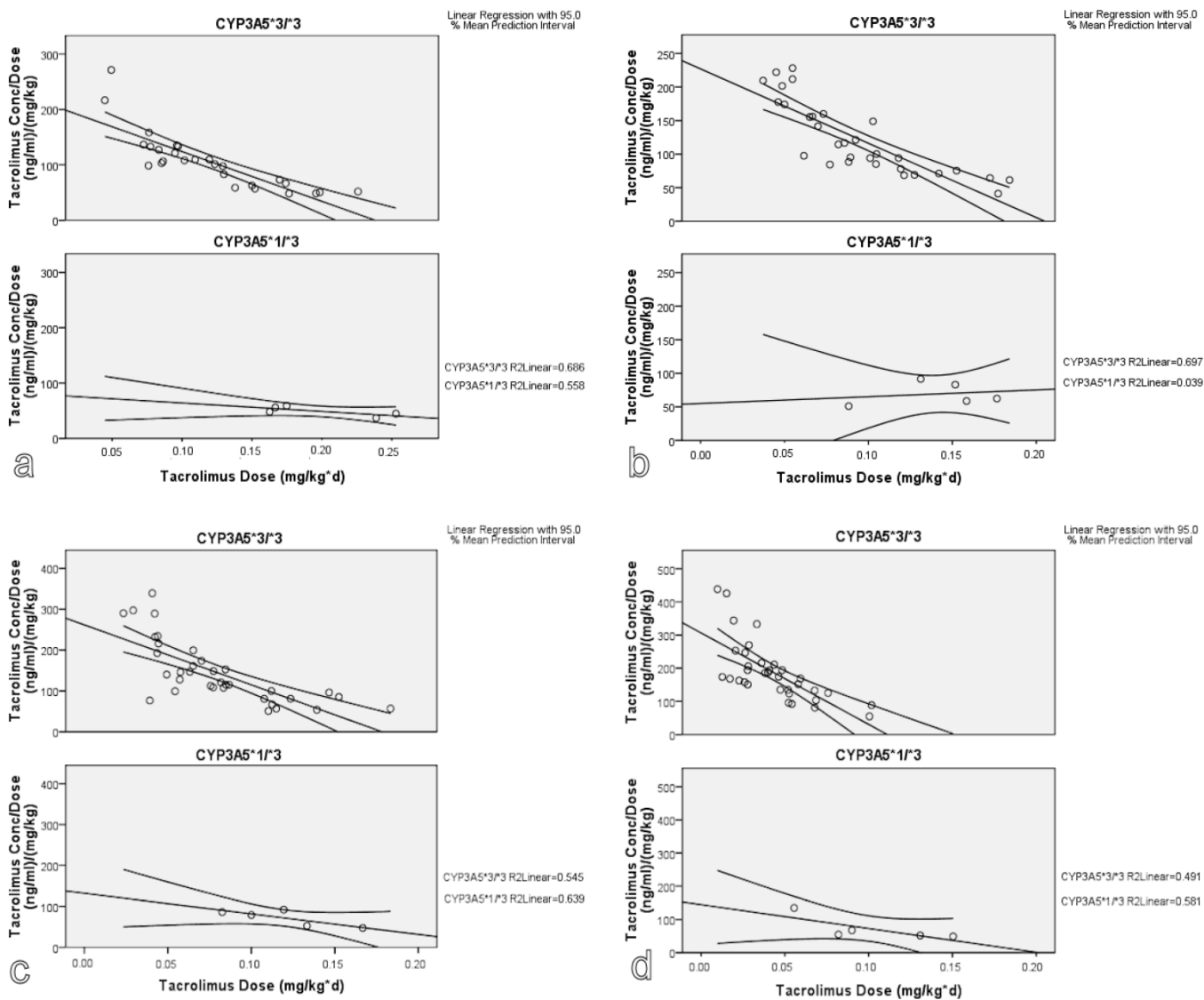
In the LR analysis, the kinetic parameters were modeled based on sex, presence of CYP3A5\*1 allele, age at transplantation (<40 versus ≥40 years old), hepatic (AST≤40U/ml and ALT≤35U/ml versus AST>40U/ml and/or ALT>35U/ml) and renal (serum creatinine ≤1.4mgr/dl versus >1.5mgr/dl) function. In the GLM repeated measures approach, a multivariate model was developed. Sex and genotype were used to define the subgroups of our patient population whilst the age at transplantation served as a covariate. The threshold of statistical significance was set at 5% (α=0.05).

#### Results

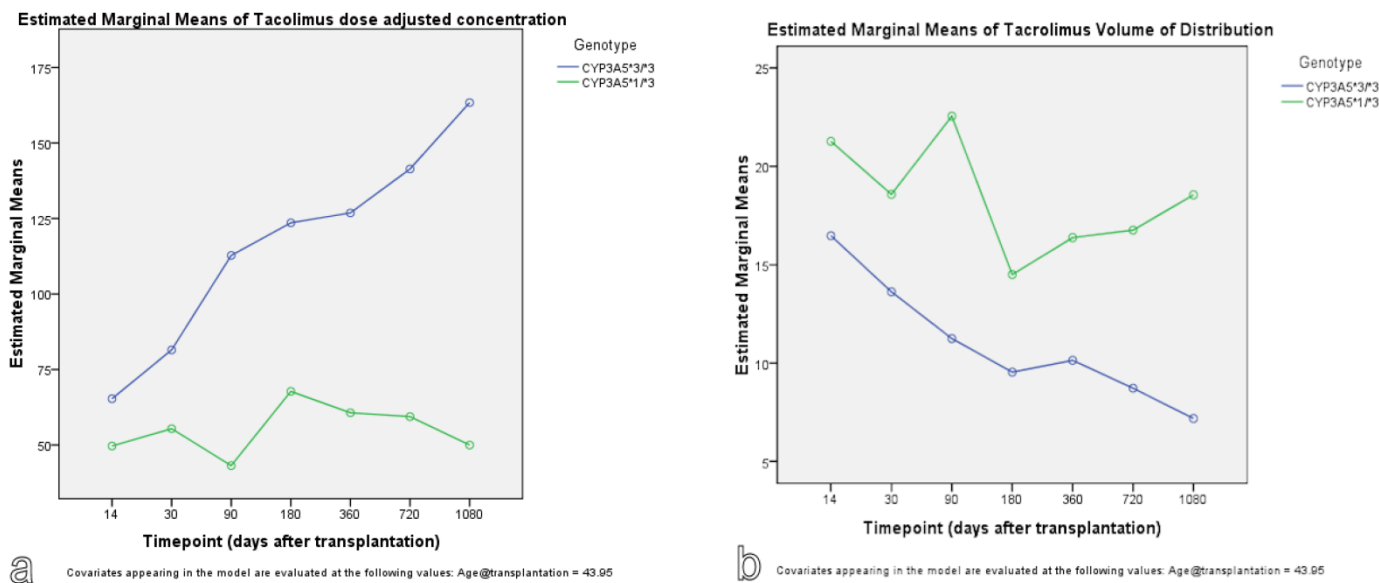
The characteristics of the study population are shown in Table 1. The frequency of CYP3A5\*3/\*3 genotype was 87.5% (35/40) whereas the frequency of the CYP3A5\*1/\*3 genotype was 12.5% (5/40). No individual homozygote for CYP3A5\*1 was detected. Patients' renal and hepatic function as well as tacrolimus trough concentration are presented in Table 2.

According to LR statistical approach, the presence of CYP3A5\*1 variant was associated with lower tacrolimus dose adjusted concentration at 3, 6, 12 and 36 months after transplantation (Figure 2). Hepatic and renal function showed a statistically significant effect on tacrolimus dose adjusted concentration at 3 months after transplantation (p<0.001 and 0.028, respectively). There was no evidence that gender had a significant impact on tacrolimus kinetic parameters.

The findings were confirmed with the use of GLM repeated measures approach. As seen in Figure 3, carriers of CYP3A5\*1 allele show lower predicted measures for tacrolimus dose adjusted concentration and higher predicted measures for tacrolimus volume of distribution. With GLM approach, the effect of timepoint, meaning the time after transplantation, on tacrolimus kinetic parameters was significant (p<0.001).



**Figure 2.** Genotype effect on tacrolimus dose adjusted concentration: A linear regression model. (a. 3 months, b. 6 months, c. 12 months and d. 36 months after transplantation).



**Figure 3.** Genotype effect on tacrolimus dose adjusted concentration (a) and volume of distribution (b): A GLM repeated measures approach.

**Table 1.** Demographic characteristics of patient population (age is expressed as median value/25% quartile-75% quartile).

Demographic characteristic	Number
Gender (female/male)	13/27
Age (years, median/range)	41/35-54
Age for females (years)	42/37-53
Age for males (years)	41/35-54
Transplantation number (first/second)	28/12
Place of transplantation (our centre/elsewhere)	36/4
Cadaver transplantation	34
Age at the onset of the chronic renal deficiency (years)	38/24-50
Age at the onset of the chronic renal deficiency for females (years)	36/29-49
Age at the onset of the chronic renal deficiency for males (years)	38/23-50
Primary kidney disease	
Unknown	14
Glomerulonephritis	9
Cystoureteral reflux	4
Polycystic kidney disease	3
Diabetic nephropathy	2
Alport syndrome	1
Eclampsia	1
Antiphospholipidic syndrome (Systemic lupus erythematosus)	1
Nephrolithiasis	3
Nephrosclerosis	1
Nephronphthisis	1

**Table 2.** Patients' renal and hepatic function, and tacrolimus trough levels at 14 days, 1, 3, 6, 12, 24 and 36 months after transplantation. Values are expressed as median (25% quartile-75% quartile).

Timepoint	AST (U/ml)	ALT (U/ml)	Cr (mgr/dl)	Trough level (ngr/ml)
14 days	15 (11-31)	27 (17-59)	1.7 (1.3-2.7)	11 (8.1-12.3)
1 month	13 (11-17)	24 (16-34)	1.8 (1.3-2.3)	10.7 (9.2-13)
3 months	16 (12-23)	20 (14-29)	1.6 (1.2-2.1)	10.4 (9.2-12.1)
6 months	13 (17-21)	16 (13-22)	1.6 (1.3-2.1)	10 (8.5-11.2)
12 months	18 (15-23)	18 (12-24)	1.4 (1.2-2)	9.1 (7.5-10.9)
24 months	17 (14-21)	17 (11-25)	1.4 (1.1-1.7)	7.2 (6.1-8.7)
36 months	18 (16-22)	19 (15-23)	1.3 (1.1-1.6)	6.7 (5.2-7.9)

**Discussion**

Pharmacogenetics emerged during 1950s seeking to detect the potential relation between altered genetic basis and behavior after drug administration. However, several epigenetic factors such as age, co-morbidity, disease seriousness, co-administrated drug therapies and their interactions, renal and hepatic function, nutritional and other habits, are often responsible for the intra- and inter-individual variability seen after drug administration [6,9]. For these reasons, therapeutic drug monitoring constitutes a crucial step in the administration of several drug agents. However, this empirical treatment algorithm

carries increased risk of side effects or insufficient treatment.

Taking into account the critical clinical condition of renal transplant recipients and the limited number of renal transplants, the immunosuppressant treatment scheme prescribed to renal transplant patients must be adjusted in a way that precludes the possibility of under or over-treatment. The main drug in the immunosuppressant scheme that kidney recipients receive is tacrolimus. Most studies seek for the detection of polymorphisms that are associated with the individual's response to tacrolimus. However, in order to secure the appropriate administration of tacrolimus, both genetic and epigenetic factors must be taken into account [7,15]. For this reason, we attempted to model tacrolimus kinetics based on both genotype and epigenetic factors.

Disparity exists in the literature as to which genes and which genetic polymorphisms must be studied. We studied the presence of CYP3A5\*1 in 40 kidney recipients. The genotype frequencies observed in our study are in agreement with the study of Arvanitidis et al, making us assume that our study sample is a representative one of the population [12]. Besides, the frequency of CYP3A5\*3 allele in Caucasian Canadians is about 93%, and 83% of Dutch Caucasians are CYP3A5\*3 homozygotes [19,20]. We chose to study this specific genetic polymorphism of CYP3A5 metabolizing enzyme as this isotype seems to critically affect the metabolism of TAC and this polymorphism is a frequent one in Greek population [3,5,19-21]. Besides, CYP3A5\*1 has been proposed to regulate both the expression of CYP3A5 and CYP3A4. Other CYP3A5 alleles (\*5, \*6, \*7) show low frequency in Caucasian population and thus, they are regarded as less suitable for screening purposes [19,20].

We failed to highlight the effect of the variants studied in all timepoints, probably due to the presence of several parameters affecting tacrolimus kinetics. The presence of CYP3A5\*1 allele does not predict the amount of CYP3A5 protein accurately as it can be affected by other factors, genetic (expression of m-RNA, stability of CYP3A5 protein, dual metabolic pathway via CYP3A4 and CYP3A5, linkage disequilibrium with CYP3AP1 pseudogene etc) or not (drug interactions, environmental influences etc) [2,4,6,7,9,11,22,23]. Although trough concentrations of tacrolimus were corrected for dose, differences in diet, habits, comorbidity or concomitant treatment schemes were not inspected. Due to the limited patient population, we were not able to estimate the impact of concomitant drug agents and comorbidities on the kinetics of tacrolimus.

Till now, several studies with limited or ex-

tended patient populations have been carried out attempting to clarify the influence of various factors on tacrolimus kinetics. However, the results remain controversial with regard to pharmacokinetic and clinical effect of the studied parameters. Our main results are in alignment with the results of Zhao et al and Tsuchiya et al [14,17]. We found that individuals carrying one CYP3A5\*1 allele need higher tacrolimus dose to achieve the target blood concentration, compared to CYP3A5\*3 homozygotes.

## Conclusions

We proved that CYP3A5 genotype affects individuals' response to tacrolimus both in the early and late phase after renal transplantation. However, given that most drug effects are polygenic in nature and that several epigenetic factors alter tacrolimus kinetics, the combination of population pharmacokinetic/pharmacodynamic and pharmacogenomic studies is deemed necessary.

## Acknowledgements

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## Conflict of Interests

The authors have declared that no conflict of interest exists.

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