

## Research Paper

# IFN-DC Loaded with Autophagosomes containing Virus Antigen is Highly Efficient in Inducing Virus-Specific Human T Cells

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Received: 2018.11.27; Accepted: 2019.03.27; Published: 2019.05.10

## Abstract

Autophagy plays a critical role in the regulation of innate and adaptive immune responses to pathogens and tumors. A previous study utilized proteasome and lysosome inhibitors to form autophagosomes (DRibbles) and the effect of dendritic cells (DCs) loaded with DRibbles in activating antigen-specific T cells has been demonstrated in a mouse experiment and human IL-4-DC. In this study, CMV-DRibbles derived from MDA cell lines expressing cytomegalovirus (CMV) pp65 protein were loaded onto human IFN-DC and IL-4-DC derived from monocytes, respectively. We observed that CMV-DRibbles resulted in the up-regulation of HLA-DR, CD11c, and CD83, but not co-stimulatory molecules CD80 and CD86 on IFN-DC. Meanwhile, the expression of HLA-DR, CD80, CD83, and CD86, except for CD11c on IL-4-DC loaded with CMV-DRibbles were up-regulated. Moreover, CMV-DRibbles had no ability to stimulate these two moDCs to secrete cytokines IL-6, IL-1 $\beta$  and IL-10. Then, we optimized the conditions for antigen up-take by DCs and found that mature moDCs had a superior ability to up-take CMV-DRibbles compared with immature DCs in a dose-dependent manner. Furthermore, the efficiency of CMV-DRibbles up-take by IFN-DC was superior compared to IL-4-DC. Finally, we observed that mIFN-DC was significantly more efficient at stimulating autologous CMV-specific CD4<sup>+</sup> T cells (0.39 vs. 0.28 %,  $p < 0.05$ ) and CD8<sup>+</sup> T cells (0.36 vs. 0.12%,  $p < 0.05$ ) to secrete IFN- $\gamma$  compared with mL-4-DC. Therefore, DRibbles containing specific viral antigens were efficient activators of human antigen-specific T cells. Our results demonstrated that IFN-DC loaded with CMV-DRibbles revealed a superior ability to induce CMV-specific T cells.

Key words: autophagosome, cancer vaccine, cross-presentation, dendritic cells

## Introduction

Dendritic cells (DCs), as the most potent professional antigen-presenting cells (APCs), play a significant role in the activation of antiviral responses, autoimmune disease, maintenance in inflammatory skin orders, and anti-cancer responses. Due to the low frequency of DCs (<1 %) in human peripheral blood

mononuclear cells (PBMCs), most studies use monocyte-derived DCs (moDCs) as APCs *in vitro*[1]. Traditionally, researchers use granulocyte-macrophage colony-stimulating factor (GM-CSF) and interleukin (IL)-4 to stimulate monocytes to differentiate into IL-4-DC. A series of clinical trials have used

IL-4-DC as APCs, and achieved certain effects[2]. For example, Michal *et al.* [3] verified that the combined chemoimmunotherapy with IL-4-DC and docetaxel was safe and resulted in longer than expected survival in patients with metastatic, castration-resistant prostate cancer. IL-4-DC vaccines have also proved to prolong survival in patients with metastatic melanoma[4]. In recent years, it has been reported that interferon (IFN)- $\alpha$  has the functions of antiviral, antitumor, and immunoregulatory activities. Evidence in the literature suggests that monocytes can differentiate into moDCs in the presence of GM-CSF and IFN- $\alpha$  and are referred to as IFN-DC[5]. However, the difference in efficiency of antigens presentation between IFN-DC and IL-4-DC has not been explored yet.

Autophagy is a fundamental catabolic pathway in which unnecessary or dysfunctional proteins and organelles are sequestered in double-membrane autophagosomes and delivered to lysosomes for degradation and recycling[6]. Increasing evidence suggests autophagy plays an important role in both innate and adaptive immunity[7, 8]. It has been reported that autophagy not only participates in major histocompatibility complex class I (MHC I) presentation but also contributes to major histocompatibility complex class II (MHC II) presentation[9]. Previous studies suggest that autophagy in tumor cells plays a crucial role in cross-presentation and identifies autophagosomes as the efficient carriers for tumor-associated antigens (TAA)[10, 11]. When treating tumor cells with inhibitors of proteasomes and lysosomes, a broad spectrum of cellular antigens, including long-lived proteins, ubiquitinated short-lived proteins (SLiPs), and defective ribosomal products (DRiPs), can be sequestered in autophagosomes. These autophagosomes are designated as DRibbles (defective ribosomal products in blebs). It has been proven that moDCs could present DRibbles and activate antigen-specific T cells in murine models[12]. Ye *et al* have proven that IL-4-DC could present DRibbles to human antigen-specific T cells[13]. However, the different in the ability of IL-4-DC and IFN-DC, from human PBMCs *in vitro*, present DRibbles and activate antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells has not yet been determined.

In this study, CMV-DRibbles were initially extracted from MDA cell lines generated from breast cancer containing CMV pp65 protein. Then, whether CMV-DRibbles could affect the phenotypes of IFN-DC and IL-4-DC was explored. Next, the influence of CMV-DRibbles on cytokines secretion of moDCs was determined. Moreover, the optimal conditions of DRibbles uptake by these two moDCs were assessed. At last, the comparison of the ability of presenting CMV-DRibbles between IFN-DC and

IL-4-DC were explored.

## Materials and Methods

### Human blood donors and preparation of PBMCs

Heparinized peripheral blood samples were obtained from healthy volunteers. PBMCs were isolated using Ficoll density gradient centrifugation (TBD, Tianjin, China) and cultured in X-vivo<sup>TM</sup> 15 medium (Lonza Group, Basel, Switzerland) containing 5 % human AB serum, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin. The study was approved by the medical ethical committee of The Second Hospital of Nanjing, and written informed consents were obtained from all donors in accordance with the Declaration of Helsinki (1964) before blood collection.

### Cell separation and DCs generation

Purified monocytes were isolated by anti-CD14<sup>+</sup> magnetically labeled microbeads (MiltenyiBiotec, Bergisch Gladbach, Germany). Positively selected CD14<sup>+</sup> cells were analyzed by flow cytometry, and the purity was >98 %. Purified monocytes were cultured in X-vivo<sup>TM</sup> 15 medium containing 5 % human AB serum, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin at the concentration of  $1 \times 10^6$ /ml, supplemented with 1000 U/ml IFN- $\alpha$ 2b (Miltenyi-Biotec, Bergisch Gladbach, Germany) and 40 ng/ml GM-CSF (R&D Systems, Minneapolis, MN, USA) for IFN-DC, or 20 ng/ml IL-4 (R&D Systems, Minneapolis, MN, USA) and 40 ng/ml GM-CSF for IL-4-DC. Immature IFN-DC (imIFN-DC) were incubated at 37 °C and 5 % CO<sub>2</sub> for 3 days, and immature IL-4-DC (imIL-4-DC) were incubated for 5 days. Half of the supernatants were removed, and fresh cytokines and medium were added every 3 days. DCs were matured by adding 20 ng/ml TNF- $\alpha$  (R&D Systems, Minneapolis, MN, USA) and cultured for another 48 h.

### Tumor cell lines and preparation of DRibbles

MDA human breast cancer cells or MDA human breast cancer cells expressing the CMV pp65 protein, were cultured in RPMI1640 medium containing 10 % FBS, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin. Autophagosomes-enriched DRibbles were prepared as described previously[11]. Briefly, cells were treated with 10 mmol/L NH<sub>4</sub>Cl and 100 nmol/L Bortezomib (Velcade) for 48 hours. After digestion by pancreatin, cells were pelleted by centrifugation at  $300 \times g$  for 5 minutes three times. The resulting suspension was centrifuged at  $7500 \times g$  for 10 minutes to collect the DRibbles. The MDA-DRibbles were extracted from MDA human breast cancer cells, as the CMV-DRibbles were extracted from MDA human breast cancer cells expressing the CMV-pp65 protein.

The concentration of DRibbles was measured by a BCA protein assay kit (Thermo Fisher Scientific, Rockford IL, USA) according to the manufacturer's protocols.

### CFSE-labeling and assay for phagocytic ability

MDA human breast cancer cells expressing the CMV-pp65 protein were labeled by CFSE (Invitrogen, Carlsbad, CA). The final concentration of CFSE was 5  $\mu$  M. The CMV-DRibbles were extracted as described above. Immature or mature DCs ( $0.5 \times 10^6$ /mL) were incubated at 37 °C with different concentrations of DRibbles for different time if necessary. After being washed twice, cells were resuspended for detection by a FACS Canto II flow cytometer (BD Biosciences, San Jose, CA, USA). DCs incubated at 4 °C with DRibbles were used as a negative control.

### Flow cytometric analysis

DCs were incubated with or without DRibbles for 24 hours at 37 °C. Then, the cells were washed and resuspended in PBS containing 1 % FBS and incubated with a series of monoclonal antibodies (mAbs) including anti-HLA-DR (G46-6), CD11c (B-ly6), CD14 (M5E2), CD80 (16-10A1), CD83 (Michel-19), and CD86 (GL1) (BD PharMingen, San Diego, CA, USA) for 30 minutes at 4 °C. Anti-HLA-DR mAb conjugated with PerCp, anti-CD11c mAb conjugated with PE-CY7, anti-CD14 mAb conjugated with FITC, anti-CD80 mAb conjugated with PE, anti-CD83 mAb conjugated with PE, and anti-CD86 mAb conjugated with PE. Then, the samples were analyzed by a FACS Canto II flow cytometer (BD Biosciences, San Jose, CA, USA). Data were collected with BD FACSDiva software and analyzed with Treestar Flowjo software.

### Cytokines secretion analysis

The cytokines secretion of DCs loaded with CMV-DRibbles were analyzed by standard sandwich ELISA. Supernatants were analyzed for IL-6, IL-1 $\beta$ , and IL-10 according to the manufacturer's protocol (Multi sciences, Hangzhou, China).

### Western blot analysis

MDA cells with or without the expression of CMV pp65 protein were treated with 10 mmol/L NH<sub>4</sub>Cl and 100 nmol/L Bortezomib. Meanwhile, the untreated MDA cells served as control. The procedures of DRibbles extraction were described in section 2.3. Then, DRibbles were mixed with 5 $\times$ SDS sample loading buffer and samples were resolved by 4-12 % SDS-PAGE. Proteins were transferred to polyvinylidene fluoride membranes, incubated with blocking buffer for 2 hours, incubated with primary antibody overnight and with HRP-conjugated secondary antibodies for 2 hours. Protein bands were

revealed by using chemiluminescent. The primary antibodies included anti-CMV-pp65 antibody (1:1000, Santa Cruz, Dallas, USA), anti-LC3 antibody (1:1000, Abcam, Cambridge, UK), and anti-Tubulin antibody (1:3000, CMCTAG, Milwaukee, USA). The secondary antibodies were goat-anti-mouse-HRP (1:10000, Thermo Fisher Scientific, Rockford IL, USA) and goat-anti-rabbit-HRP (1:10000, Thermo Fisher Scientific, Rockford IL, USA).

### Analysis of antigen-specific T cells by intracellular IFN- $\gamma$ staining

At first, the healthy donors with positive anti-CMV-IgG were selected to do the study according to the results of the ELISA (Neobioscience, Shenzhen, China). DCs cultured as above were seeded into a 96-well round-bottomed plate at  $5 \times 10^4$  cells per well. Then, mature IFN-DC (mIFN-DC) or mature IL-4-DC (mIL-4-DC) was cultured with CMV-DRibbles. Purified CD4<sup>+</sup> or CD8<sup>+</sup> T cells were isolated by anti-CD4 or anti-CD8 magnetically labeled microbeads according to the manufacturer's protocols (MiltenyiBiotec, Bergisch Gladbach, Germany). After 12 hours, DCs loaded with CMV-DRibbles were washed twice in PBS and co-cultured with autologous CD4<sup>+</sup> or CD8<sup>+</sup> T cells at the DCs/T cells ratio of 1:10 in X-vivo™ 15 containing 5 % human AB serum, 100 units/ml penicillin, and 100  $\mu$ g/ml streptomycin for 12 hours at 37 °C. Then, GolgiPlug protein transport inhibitor (BD PharMingen, San Diego, CA, USA) was added into the wells. After another 6 hours, cells were harvested and washed in washing buffer and stained with live/dead fixable dead cell staining (Invitrogen, Carlsbad, CA), FITC-conjugated anti-CD4, APC-CY7-conjugated anti-CD8 and PerCp-conjugated anti-CD3 (BD PharMingen, San Diego, CA, USA) for 30 minutes at 4 °C. After washing, the cells were fixed and permeabilized by the Cytotfix/Cytoperm solution (BD PharMingen, San Diego, CA, USA) for 20 minutes at 4 °C. Then the cells were rewashed in perm washing buffer and stained with PE-conjugated anti-IFN- $\gamma$  (BD PharMingen, San Diego, CA, USA) for 30 minutes at 4 °C. At last, the cells were analyzed on a BD Canto II flow cytometer.

### Statistical Analysis

Data were expressed as the means  $\pm$  SEMs and analyzed by Student's *t*-test or a one-way ANOVA followed by Tukey testing using SPSS V20.0 software. Statistical significance was defined as  $< 0.05$ .

## Results

### DRibbles induced the modification of phenotypes on moDCs

A previous study had shown that DRibbles

could change the phenotype of IL-4-DC derived from mouse bone marrow mononuclear cells[12]. Therefore, we wondered if DRibbles could modulate the phenotypes of DCs derived from human PBMCs. Isolated human monocytes were cultured either with GM-CSF plus IL-4 (IL-4-DC) or GM-CSF plus IFN- $\alpha$  (IFN-DC). After cultured for 5 days for IL-4-DC and 3 days for IFN-DC, the cells were added with CMV-DRibbles and cultured for another 24 hours. Flow cytometry was used to analyze co-stimulation markers (HLA-DR, C80, CD86), myeloid marker (CD11c), and DC mature marker (CD83). After the stimulation of CMV-DRibbles, the expression of MHC II molecules HLA-DR and myeloid markers CD11c and DC mature marker CD83 on imIFN-DC were upregulated ( $p < 0.05$ ), analyzed as the percentage of positive cells as well as by MFI (Fig. 1A and B). Moreover, the expression of costimulatory molecules CD80 and CD86 before and after the stimulation CMV-DRibbles on imIFN-DC had no significant difference ( $p > 0.05$ ). For IL-4-DC, the expression of CD11c could not be increased remarkably by the stimulation of CMV-DRibbles ( $p > 0.05$ ), whereas the expression of HLA-DR, CD80, CD83, and CD86 could be upregulated ( $p < 0.05$ ) after loading with CMV-DRibbles. However, except for CD86, there was no significant difference ( $p > 0.05$ ) for the expression of HLA-DR, CD11c, CD80, and CD83 between IFN-DC and IL-4-DC after the stimulation of CMV-DRibbles.

### Effect of DRibbles on the cytokines secretion of two moDCs

As DRibbles were able to efficiently influence the phenotypes of moDCs, we tested whether DRibbles could stimulate cytokines secretion by moDCs. To evaluate the effect of DRibbles on the regulation of cytokines secretion by IFN-DC and IL-4-DC *in vitro*, the levels of cytokines in cell culture supernatants after the stimulation of CMV-DRibbles were detected by ELISA. ImIFN-DC and imIL-4-DC were generated and matured for 48 hours with TNF- $\alpha$ . Afterwards, mature DCs were loaded with CMV-DRibbles and further cultured for 24 hours. Levels of IL-6, IL-1 $\beta$ , and IL-10 in supernatants were determined. In comparison to mIL-4-DC, mIFN-DC secreted more IL-6 (Fig. 2A). However, CMV-DRibbles had no ability to further enhance the concentration of IL-6 secreted by mIFN-DC and mIL-4-DC ( $p > 0.05$ ). Moreover, imIFN-DC and imIL-4-DC did not secrete detectable levels of IL-1 $\beta$  (Fig. 2B). After maturation by TNF- $\alpha$ , both mIFN-DC and mIL-4-DC released significant amounts of IL-1 $\beta$ , and the concentration of IL-1 $\beta$  secreted by mIFN-DC was lower than mIL-4-DC ( $p < 0.001$ ). Nevertheless, the concentration of IL-1 $\beta$  released by mIFN-DC and mIL-4-DC was decreased

after loaded with CMV-DRibbles ( $p < 0.001$ ). Surprisingly, all of imIL-4-DC, mIL-4-DC and mIL-4-DC loaded with CMV-DRibbles did not secrete detectable levels of IL-10 (Fig. 2C). In contrast, imIFN-DC secreted IL-10. Although mIFN-DC produced higher levels of IL-10 compared with imIFN-DC, the secretion of IL-10 by mIFN-DC could not be further increased after loaded with CMV-DRibbles ( $p > 0.05$ ).

### The uptake of DRibbles by IFN-DC and IL-4-DC

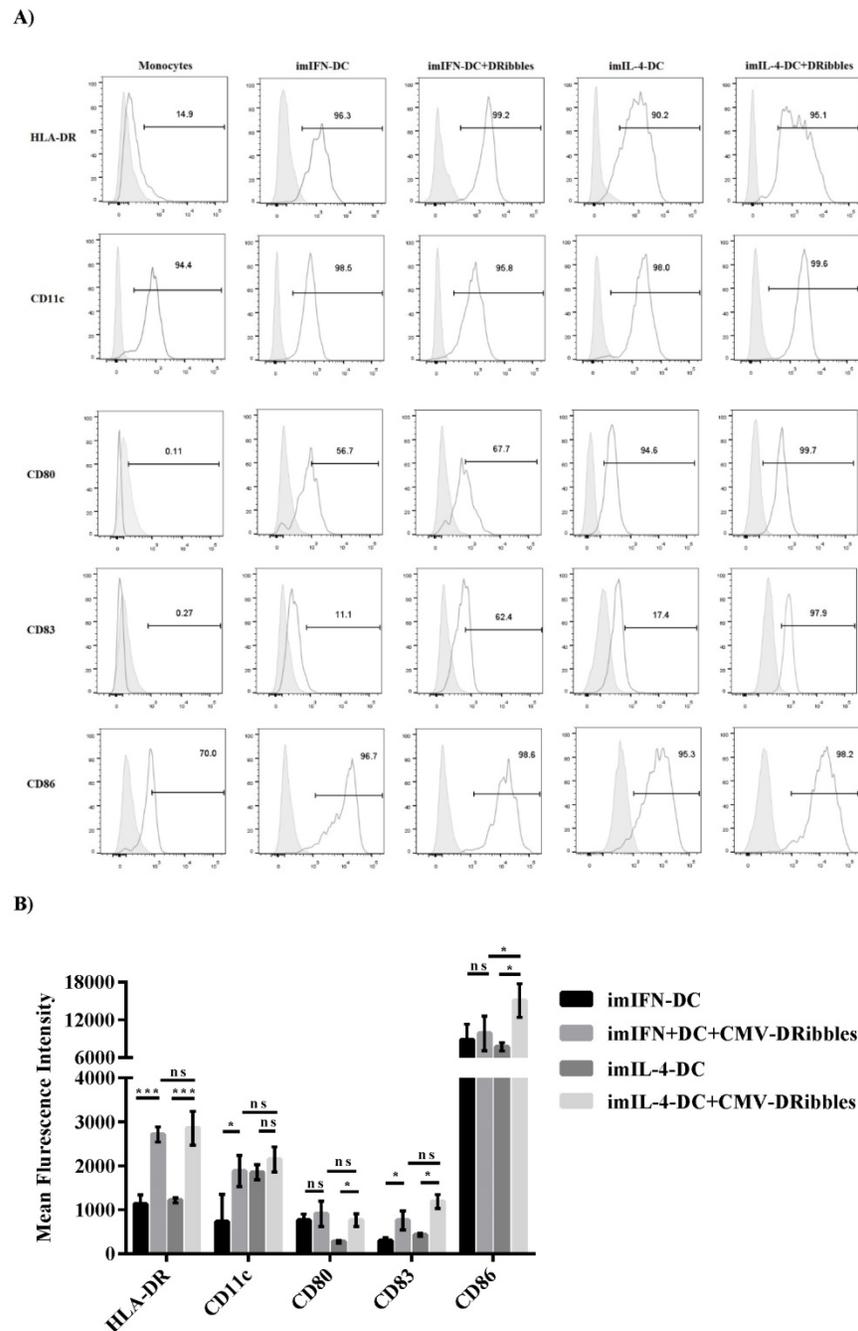
To analyze the capacity of moDCs to uptake DRibbles, CMV-DRibbles were prepared from CFSE-labeled MDA cells expressing CMV pp65 protein. Then, the ability of uptake was compared between immature and mature moDCs. As shown in Fig 3A and B, both mIFN-DC and mIL-4-DC had higher capacity to uptake CMV-DRibbles compared with their corresponding immature DCs ( $p < 0.001$ ). The negative control was cultured at 4 °C. In addition, the ability of CMV-DRibbles uptake by IFN-DC was stronger than IL-4-DC no matter if they were immature or mature DCs ( $p < 0.001$ ). Next, it was determined whether the concentration of CMV-DRibbles could influence uptake efficiency. Dose analysis indicated that the uptake of CMV-DRibbles by mature DCs was in a dose-dependent manner (Fig. 3C). Finally, CMV-DRibbles uptake by mature DCs were analyzed at time points 6, 12, and 24 hours. The results showed that the best time point for the uptake of DRibbles by mIFN-DC was 12 hours; the best time for the mIL-4-DC was 24 hours (Fig. 3D).

### Comparison of the presentation ability between IFN-DC and IL-4-DC

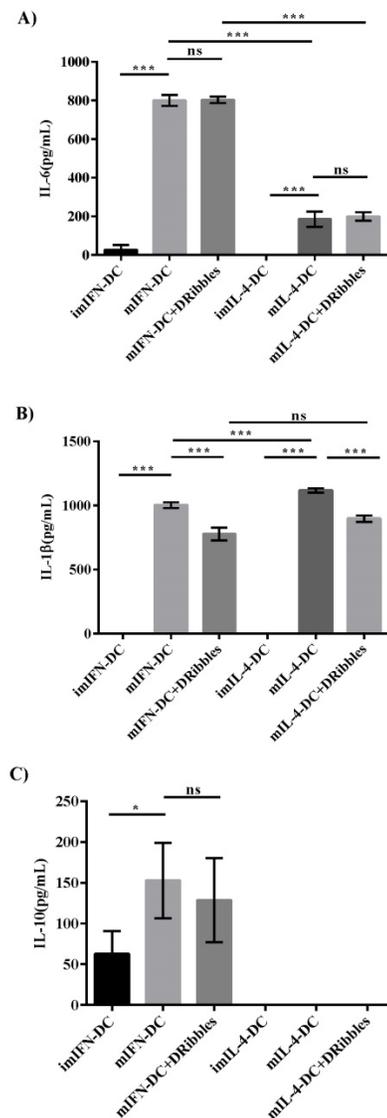
IFN-DC and IL-4-DC are the most commonly used APCs in immunotherapy. Currently, it is not known which DCs loaded DRibbles can more effectively activate antigen-specific T cells. Hence, we investigated the ability of IFN-DC and IL-4-DC to induce T cells secreting IFN- $\gamma$  after loading with CMV-DRibbles. CMV-DRibbles or MDA-DRibbles were extracted from MDA cells with or without the expression of CMV pp65 protein as shown in Fig. 4A. LC3-II was a typical marker of autophagosomes[14]. Western blot analysis showed that LC3-I to LC3-II conversion of DRibbles markedly increased after treatment with NH<sub>4</sub>Cl and Bortezomib (Fig. 4B). CMV IgG positive healthy donors' peripheral blood were selected for the next study. Cultured mIFN-DC or mIL-4-DC loaded with CMV-DRibbles or MDA-DRibbles were then co-cultured with autologous CD4<sup>+</sup> and CD8<sup>+</sup> T cells at a DC to T cells ratio of 1:10, CD4<sup>+</sup> or CD8<sup>+</sup> T cells stimulated by 100 ng/mL PMA

were used as positive control, and monocytes were used as a negative control. After 12 hours of culture, GolgiPlug protein transport inhibitor was added, and activated CMV-specific T cells were assessed by intracellular staining. Both mIFN-DC and mIL-4 DC had the capacity to prime CMV-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells after loading with CMV-DRibbles when compared with loaded with MDA-DRibbles. As shown in Fig. 5A and 5B, mIFN-DC loaded with

CMV-DRibbles were more efficient at promoting CMV antigen-specific CD4<sup>+</sup> T cells compared with the mIL-4-DC (0.39 % vs. 0.28 %,  $p < 0.05$ ). The mIFN-DC loaded with CMV-DRibbles could also activate more CMV antigen-specific CD8<sup>+</sup> T cells than mIL-4-DC (0.36 % vs. 0.12 %,  $p < 0.05$ ) (Fig. 5C and 4D). Taken together, these data suggested that human mIFN-DC had a stronger ability in the presentation of DRibbles antigen compared with mIL-4-DC.



**Figure 1.** Phenotypes of imIFN-DC and imIL-4-DC before and after loading with CMV-DRibbles. (A) Phenotypic analysis of surface markers on monocytes, imIFN-DC, imIL-4-DC loaded with or without CMV-DRibbles. FACS analysis was performed on these cells that were cultured for 24 hours. Representative histograms (thick lines) of the indicated antibody staining were plotted with the corresponding isotype controls (shaded histograms). (B) showed the mean fluorescence intensity of HLA-DR, CD11c, CD80, CD83 and CD86 expressed by immature moDCs and immature mDCs loaded with CMV-DRibbles. Results were represented as means  $\pm$  SEMs obtained from 5 independent experiments from 5 different donors. Statistical analysis was performed with One-way ANOVA followed by post-hoc test ( $*p < 0.05$ ,  $**p < 0.01$ ,  $***p < 0.001$ , ns = none sense).



**Figure 2.** Cytokines produced by IFN-DC and IL-4-DC. (A), IL-6 (B), IL-1 $\beta$  (C), IL-10 secreted by immature moDCs, mature moDCs and mature moDCs loaded with CMV-DRibbles were analyzed by ELISA. Results were represented as means  $\pm$  SEMs obtained from 3 independent experiments from 3 different donors. Statistical analysis was performed with one-way ANOVA followed by post-hoc test (\* $p$ <0.05, \*\* $p$ <0.01, \*\*\* $p$ <0.001, ns = none sense).

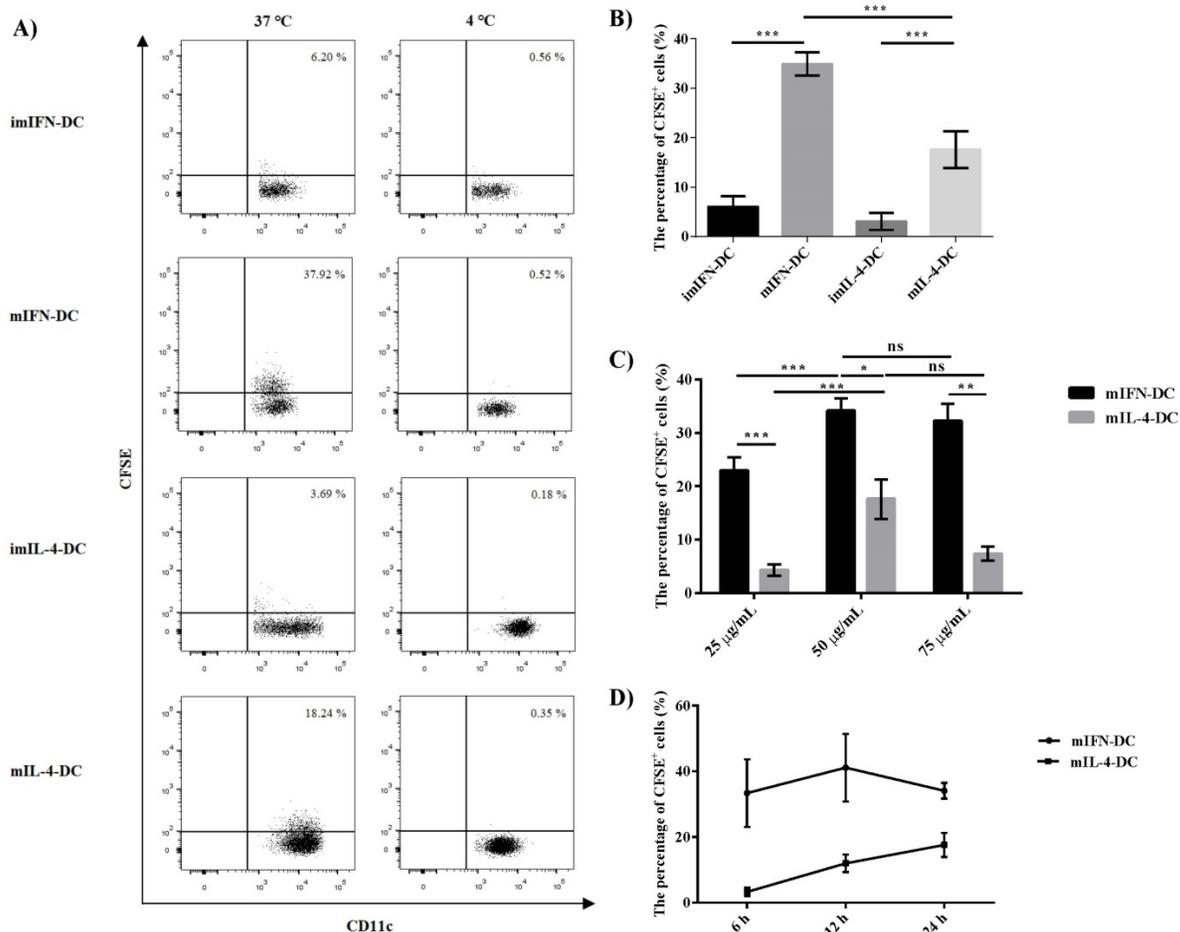
## Discussion

In recent years, a number of cancer vaccines has been explored in the immunotherapy of different tumors, such as breast cancer, glioma, and liver cancer[15-17]. Most of these vaccines were comprised of peptide, protein, or nucleic acid, which could be captured by DCs *in vitro* or *in vivo*[18]. However, the clinical outcomes regarding these vaccines were unsatisfactory and the main reasons were as follows: (1) the weak immunogenicity of antigen when cross-presented by DCs; (2) the loss or defection of epitopes in antigen processing; (3) immune suppression induced by myeloid-derived suppressor cells and T regulatory cells. Therefore, appropriate

tumor antigen and efficient cross-presentation by DCs are crucial factors in the process of developing tumor vaccines.

Autophagy is a fundamental catabolic pathway in which unnecessary or dysfunctional proteins and organelles are sequestered in double-membrane autophagosomes and delivered to lysosomes for the degradation and recycling during the maintenance of cellular homeostasis[6]. Some studies found that autophagosomes could be presented by the MHC II pathway. This process involves the degradation of antigens in lysosomal compartments, binding of the resulting peptides to MHC II, and delivery of the MHC II-peptide complexes to the cell surface for presentation to CD4<sup>+</sup> T cells[19]. Autophagosomes can expand the repertoire of antigens presented via the MHC II pathway by DCs. Another route for presentation of exogenous antigens involved in autophagosomes is cross-presentation. This route involves the degradation of foreign antigens in the cytosol, binding of the resulting peptides to MHC I in the endoplasmic reticulum (ER), and delivery of the MHC I-peptide complexes to the plasma membrane for activation of CD8<sup>+</sup> T cells[19]. DCs are the primary implementer of cross-presentation. Furthermore, DCs serve as the most potent APCs in human and is identified as the surveillance of extracellular environment, the capturer of pathogens, and the initiator of T cell immunity[20]. Consequently, developing a tumor vaccine based on autophagosomes and DCs is a promising way.

Previous studies used the inhibitors of proteasomes and lysosomes to process tumor cells and obtain autophagosomes containing quality antigens named DRibbles, which had been demonstrated to have value as a tumor vaccine in murine experiments[12]. DRibbles were induced by Bortezomib (proteasome inhibitor) and NH<sub>4</sub>Cl (lysosomotropic agent). Scanning electron microscopy analysis have shown that the dimensions and features of DRibbles are in accordance with autophagosomes[12, 21]. IL-4-DC are conventional moDCs that are commonly used in clinical trials. In recent years, some reports suggest that monocytes cultured with GM-CSF and IFN- $\alpha$  can be induced toward the moDCs which are called IFN-DC[22]. Hence, in this study, we sought to determine whether IFN-DC and IL-4-DC derived from monocytes could present DRibbles to human T cells. For the first time, we demonstrated that DRibbles derived from the MDA cells line expressing CMV pp65 protein could change the phenotype of IFN-DC and IL-4-DC from human PBMCs. We also explored that IFN-DC loaded with CMV-DRibbles were more efficient in the activation of CMV antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells compared with IL-4-DC.



**Figure 3.** The uptake CMV-DRibbles by IFN-DC and IL-4-DC. (A) and (B) immature and mature moDCs were loaded with 50 µg/mL CMV-DRibbles at 37 °C and 4 °C, respectively. After 24 h, the cells were analyzed by flow cytometer. The percentage of CFSE<sup>+</sup> cells in the gate of CD11c<sup>+</sup> cells was determined. (C) mature DCs were loaded with 25 µg/mL, 50 µg/mL or 75 µg/mL CMV- DRibbles, respectively, and cultured at 37 °C for 24 h. Then, the percentage of CFSE<sup>+</sup> cells was detected. (D) mature moDCs were loaded with 50 µg/mL CMV-DRibbles for 6, 12, 24 h at 37 °C. Results were represented as means ± SEMs obtained from 3 independent experiments. Statistical analysis was performed with One-way ANOVA followed by post-hoc test (\* $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\* $p < 0.001$ , ns = none sense).

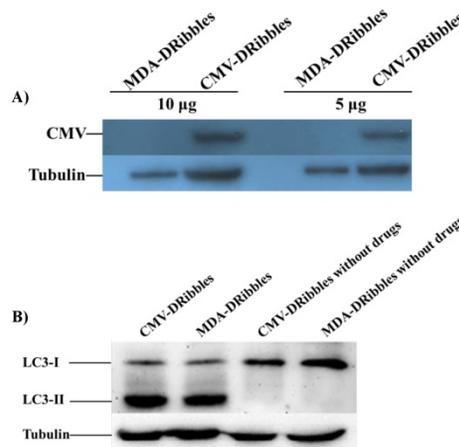
First, we detected a change of phenotype in the imIFN-DC and imIL-4-DC after loaded with CMV DRibbles. FACS analyses revealed that a large percentage of imIFN-DC and imIL-4-DC exhibited the typical characteristics of highly activated HLA-DR DCs after their exposure to CMV-DRibbles. This phenomenon was in concert with DCs cultured from mouse bone marrow mononuclear cells[12]. The primary function of HLA-DR is to present antigens to the immune system for eliciting T cells responses, and HLA-DR is a marker of immune stimulation[23]. Therefore, the increase of HLA-DR expression is beneficial for moDCs to stimulate T cells. For myeloid marker CD11c, the expression on imIFN-DC loaded with CMV-DRibbles increased, while the expression on imIL-4-DC increased slightly but there was no statistical change after the stimulation of CMV-DRibbles. The function of CD11c is to induce cellular activation, and CD11c is found on most dendritic cells, but also on monocytes, macrophages, neutrophils[24]. Hence, the upregulated expression of

CD11c by DRibbles is in favor of moDCs function. CD80 and CD86 are the important costimulatory molecules on DCs. These two markers engage in the interaction between APCs and T cells, which are key factors for the activation of APCs and immune responses[12]. CD83 is the typical mature marker of DCs. Although some of them did not reach statistical significance, the expression of CD80, CD83 and CD86 on imIFN-DC and imIL-4-DC was upregulated after the stimulation of CMV-DRibbles. Moreover, except for CD86, there is no different in the expression of HLA-DR, CD11c, CD80 and CD83 between imIFN-DC and imIL-4-DC after culture with CMV-DRibbles. Therefore, DCs loaded with DRibbles might be able to activate T cells through the increased expressions of costimulatory molecules and maturity.

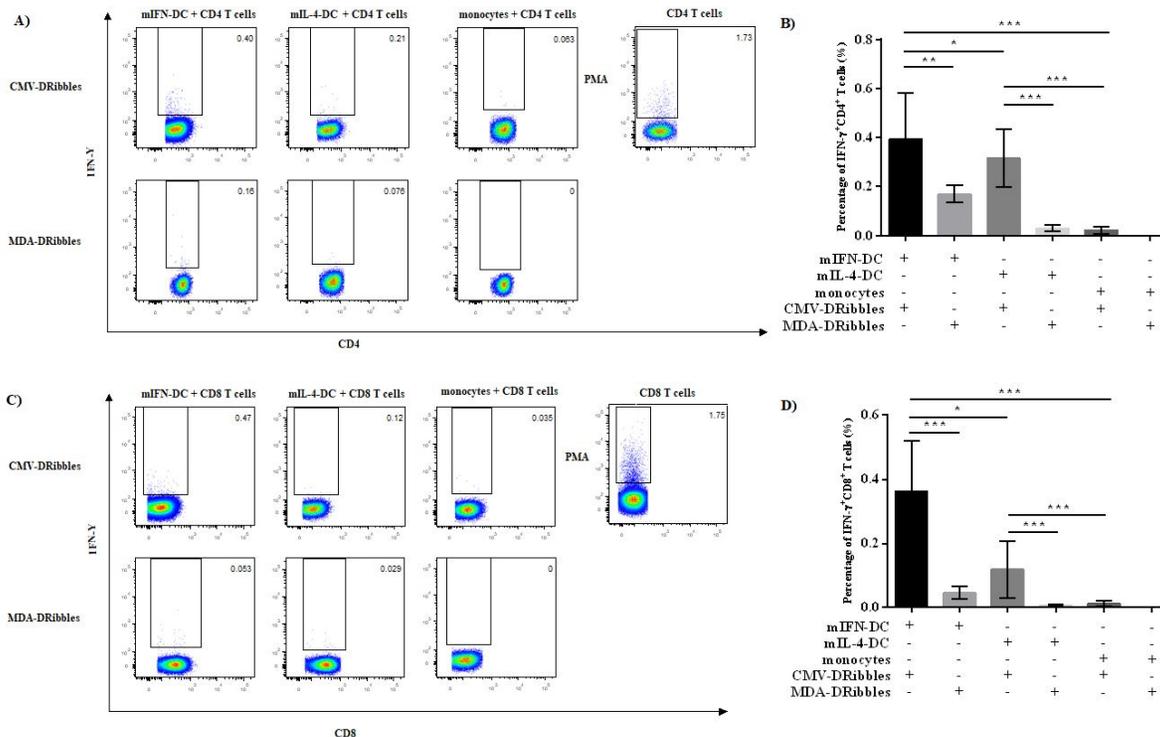
To determine whether DRibbles could stimulate the cytokines secretion of moDCs, we compared the secretion of IL-6, IL-1 $\beta$ , and IL-10 by mature moDCs and mature moDCs loaded with CMV-DRibbles. Although IFN-DC and IL-4-DC secreted IL-6 and

IL-1 $\beta$  increased after TNF- $\alpha$  stimulation, CMV-DRibbles did not further augment IL-6 or IL-1 $\beta$  production by DCs. Previous studies have demonstrated that differentiation of human Th17 cells from naïve CD4<sup>+</sup> T cells requires IL-6 and IL-1 $\beta$ , and the effector function of memory Th17 cells needs IL-1 $\beta$ [25, 26]. Thus, we speculated that CMV-DRibbles had no ability to promote Th17 responses in CD4<sup>+</sup> T cells by DCs. As one of the important immune suppressive cytokines, IL-10 plays crucial roles in immune responses and inflammatory processes[27]. Not only the cells of the adaptive immune system, including CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells and B cells, could express IL-10 but also the cells from the innate immune system, such as DCs, natural killer cells, eosinophils, and neutrophils[27]. Here, in agreement with the literature, we found that both immature and mature IFN-DC produced plenty of IL-10[28]. However, CMV-DRibbles could not stimulate mIFN-DC to secrete more IL-10. In contrast, IL-4-DC did not express IL-10 whether with or without CMV-DRibbles stimulation. Although IFN-DCs produce low amounts of anti-inflammatory cytokines IL-10, IFN-DCs express numerous proinflammatory compared to IL-4-DC, making it unlikely to balance the excess amount of proinflammatory cytokines[5]. The above results were in contrast with a previous

report that DRibbles could stimulate PBMCs to secrete cytokines [29]. On the account of the complexity of PBMCs, we did not know the exact cells types secreting cytokines under the stimulation of DRibbles, which needs future study. Taken together, our data suggested that CMV-DRibbles had no ability to stimulate cytokines secretion by moDCs.



**Figure 4.** Western blot analysis of tumor cell derived DRibbles. MDA cells with or without the expression of CMV-pp65 protein were treated with 10 mmol/L NH<sub>4</sub>CL and 100 nmol/L Bortezomib for 48 hours, respectively. The untreated MDA cells were as control. DRibbles were prepared from each group. (A) The expression of CMV-pp65 protein in CMV-DRibbles or MDA-DRibbles were detected by western blot analysis. (B) Autophagosome marker LC3 detected by Western blot analysis.



**Figure 5.** The activation of CMV antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells after stimulation of mIFN-DC and mIL-4-DC loaded with CMV-DRibbles. The mIFN-DC or mIL-4-DC was loaded with CMV-DRibbles or MDA-DRibbles for 12 hours. Monocytes were used as negative control. Then, DCs loaded with DRibbles were washed twice in PBS, and autologous T cells were added and cultured for another 12 hours. CD4<sup>+</sup> or CD8<sup>+</sup> T cells stimulated by PMA were used as positive control. The percentage of IFN- $\gamma$ <sup>+</sup>CD4<sup>+</sup> T cells (A, B) and IFN- $\gamma$ <sup>+</sup>CD8<sup>+</sup> T cells (C, D) were detected by intracellular staining. Results were represented as means  $\pm$  SEMs obtained from 5 independent experiments from five different donors. Statistical analysis was performed with One-way ANOVA followed by post-hoc test (\* $p$ <0.05, \*\* $p$ <0.01, \*\*\* $p$ <0.001).

The cross-presentation efficiency of moDCs is not solely determined by the types of antigens; it is also affected by antigen uptake. To determine the uptake of CMV-DRibbles by these two moDCs, we compared the uptake of CMV-DRibbles by IFN-DC and IL-4-DC under different maturity of DCs, concentrations of CMV-DRibbles, and timepoints. Out of our expectation, no matter for IFN-DC or IL-4-DC, the uptake of CMV-DRibbles by mature moDCs was superior than immature moDCs, which was in contrast with some literature[30, 31]. We speculated that DRibbles containing multiple antigens might enhance the ability of uptake by mature DCs. Excellent antigen phagocytosis is beneficial to antigen presentation. Therefore, this result also proved that DRibbles were superior tumor antigens for DCs vaccines. Next, we observed that the uptake of CMV-DRibbles by mature DCs occurred in a dose-dependent manner. The dose of 50 µg/mL for DRibbles was enough for both IFN-DC and IL-4-DC. Then, we found that the best timepoint for the uptake of antigens by mIFN-DC was at 12 hours after loading with DRibbles, while the best timepoint for mL-4-DC was at 24 hours. A previous study proves that these two moDCs have different antigen transport paths[32]. The antigen that enters DCs interacts with MHC-I. IFN-DC MHC-I molecules preferentially reside in the early endosomes, which is beneficial for cross-presentation, while IL-4-DC MHC-I molecules reside in traditional ER-Golgi compartment. This could explain the different efficiency of DRibbles uptake between IFN-DC and IL-4-DC.

At last, to determine whether DCs could be efficient in presenting or cross-presenting DRibbles to T cells, we compared the function of presenting CMV-DRibbles between IFN-DC and IL-4-DC by detecting IFN-γ secretion by CMV specific T cells. We chose CMV-DRibbles derived from MDA cell lines expressing the CMV pp65 protein as the antigen because pp65 was a dominant antigen recognized by both CMV-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells in humans with a history of CMV infection[33]. As expected, both IFN-DC and IL-4-DC could present CMV-DRibbles and activate CMV-specific T cells. These results indicated that tumor cell-derived DRibbles serving as a source of antigens were superior in activating antigen-specific T cells when loaded onto moDCs. This function might lead to suppression of tumor growth, which has been proven in a mouse model[12]. Furthermore, we observed that IFN-DC had a more efficient ability of presenting and cross-presenting CMV-DRibbles to CD4<sup>+</sup> and CD8<sup>+</sup> T cells compared with IL-4-DC. This observation is consistent with a study by Mohamad *et al.* also showing that IFN-DC was more efficient than IL-4-DC to induce

antigen-specific immune response[22]. To date, no study has systematically reported the reasons for the difference in efficiency of presentation between these two moDCs. According to the report, IFN-DC expresses a broad spectrum of Toll-like receptors (TLRs) compared with IL-4-DC and TLRs play an essential role in the activation of innate immunity [22]. Meanwhile, a previous study proved that DRibbles contain many endogenous agonists for multiple TLRs[29]. Thus, based on the above results and previous reports, we speculated that the difference in TLRs expression might cause the different presentation efficiencies between these two moDCs, which is being investigated in our lab currently.

In summary, we found that DRibbles influenced the phenotype of moDCs. In addition, DRibbles had no ability to stimulate moDCs to secrete cytokines. Furthermore, we optimized the uptake condition of DRibbles by IFN-DC and IL-4-DC. At last, we detected that IFN-DC had superior ability in the aspect of presenting and cross-presenting DRibbles compared with IL-4-DC. Our study confirmed the ability of DRibbles to activate antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells in humans, suggesting that DRibbles might be an excellent antigen for priming anti-cancer immune responses in patients. A phase I clinical trial has proved that the autologous DRibbles vaccine is found to be safe when combined with docetaxel plus GM-CSF[34]. Therefore, the development of tumor vaccines based on IFN-DC and DRibbles is very valuable for the future.

## Abbreviations

APC: antigen presenting cells; CMV: cytomegalovirus; DCs: Dendritic cells; DRibbles: defective ribosomal products in blebs; FACS: fluorescence activated cell sorting; FBS: fetal bovine serum; GM-CSF: granulocyte macrophage-colony stimulating factor; IFN-α: Interferon-α; IL-4: interleukin (IL)-4; mAbs: monoclonal antibodies; MHC: major histocompatibility complex; moDCs: monocyte-derived DCs; PBMC: peripheral blood mononuclear cells; TAA: tumor-associated antigens; Th17: T helper cell 17; TNF-α: tumor necrosis factor (TNF)- α.

## Acknowledgements

We thank Dr. Hong-ming Wu from Robert W. Franz Cancer Research Center in USA for the generous gift of MDA human breast cancer cells and MDA human breast cancer cells expressing the CMV pp65 protein. This research was partially supported by grants from Nanjing Medical Science and technology development Foundation (No.YKK17167 to Jing Fan and No.YKK17173 to Wei Ye), the National Natural

Science Foundation of China (No.81402559 to Wei Ye), the Science and Technology Commission of Nanjing (No.201605033 to Wei Ye), the Project of Jiangsu Provincial Medical Youth Talent (Wei Ye), the Project of Six Talent Peaks of Jiangsu Province (No.WSN-177 to Wei Ye) and the Jiangsu Provincial Special Program of Medical Science (NO.BL2014005 to Yongxiang Yi).

## Competing Interests

The authors have declared that no competing interest exists.

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